# Robust RFID-Based Respiration Monitoring in Dynamic Environments

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Abstract—Respiration monitoring (RM) is crucial for tracking various health problems. Recently, RFID has been widely employed for lightweight and low-cost RM. However, existing RFID-based RM systems are designed for static environments where no people move around the monitored person. While, in practice, most environments are dynamic with people moving nearby, which introduces dynamic multipath signals and significantly distorts the respiration signal, leading to inaccurate RM. In this paper, we aim to realize accurate RFID-based RM in dynamic environments. Our observations show that multipath signals can result in a similar pattern to respiration, which leads to mis-detection of apnea and inaccurate respiration rate estimation. To address this issue, we first measure the respiration anomaly in the signal spectrogram to detect apnea. Second, we successfully remove the multipath effect for respiration pattern is regular and periodic. By transforming a normal respiration cycle into a matched filter, real respiration cycles can be extracted from the noisy RFID signal, which can be applied to estimate the respiration rate via peak detection scheme. The experiments show that our system achieves the average error of 4.2% and 0.51 *bpm* for apnea detection and respiration rate estimation in dynamic environments, respectively.

Index Terms—Respiration monitoring, RFID, dynamic environment, multipath effect

#### 17 **1** INTRODUCTION

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 $R^{\hbox{\scriptsize ESPIRATION}}$  state is not only an important indicator for reflecting the respiratory conditions but also highly 18 19 20 related to the overall homeostatic control for human health. Respiration state of a human shows early signs for many 21 diseases, e.g., sleep apnea [1], hypoxia [2], and chronic 22 obstructive pulmonary disease (COPD) [3]. In addition, 23 monitoring respiration state can help to prevent the disease 24 deterioration for patients in a sensitive and accurate way 25 [4]. Therefore, accurate and continuous respiration monitor-26 ing (RM) is highly demanded for people suffering from var-27 ious health problems. 28

However, traditional respiration monitoring (RM) 29 approaches that use wearable devices are either cumber-30 31 some or intrusive to users. For example, the chest belt/nostril sensors, which are tightly bound on the chest/nose, 32 33 could make users feel uncomfortable when being monitored. Recently, radio frequency (RF) signals have shown 34 35 great potential for non-intrusive RM, which aims to release people from wearing bulky sensors [5], [6], [7], [8], [9], [10], 36 37 [11]. Among different RF technologies, RFID has been well investigated for RM due to the small, lightweight, and flexi-38 ble properties of passive RFID tags [12], [13], [14], [15], 39

Manuscript received 2 Mar. 2021; revised 5 July 2021; accepted 17 Aug. 2021. Date of publication 0 . 0000; date of current version 0 . 0000. (Corresponding author: Yanwen Wang.) Digital Object Identifier no. 10.1109/TMC.2021.3106954 which offer a non-intrusive way for RM by simply attaching 40 RFID tags on the chest. Meanwhile, RFID tags are cost-effec-41 tive (0.1-0.2 USD per tag) and can be applied for large-scale 42 deployment. The intuition of RFID-based RM is that the 43 tiny periodic chest movement during breathing can be cap-44 tured by tracking the movement of the tag on the chest. 45

RFID technology has many advantages over other RF 46 technologies for RM. First, the WiFi-based method is hard 47 to support multi-person RM. Although some works imple-48 ment WiFi-based multi-person RM [5], [7], they require 49 prior knowledge of the number of persons. In addition, 50 WiFi-based methods fail to match the respiration rate to 51 each corresponding person. However, thanks to the stan-52 dard EPC communication protocol, multi-person RM can be 53 achieved and separated via the unique ID of tags attached 54 on different persons chests. Compared with the radar-based 55 methods, which require specialized RF devices [10], [11], 56 the commodity RFID devices are widely used in the market. 57 Thus, using RFID can provide a more pervasive RM for 58 public use.

However, current RFID-based RM systems can only 60 monitor the person in a relatively static environment where 61 no people move around so that the tiny chest movement 62 caused by human respiration can be correctly measured 63 [12], [13], [14], [16], [17]. As depicted in Fig. 1a, a person is 64 monitored in a static environment, and the measured respi-55 ration signal from the RFID tag shows a clear periodic respi-66 ration pattern. However, in dynamic environments with 67 people moving nearby, as shown in Fig. 1b, the measured 68 respiration signal becomes noisy. This is because the sur-69 rounding people incur dynamic multipath signals, which 70 are superimposed with the desired line-of-sight (LOS) respi-71 ration signal of the monitored person. As a result, the respi-72 ration pattern in the RFID signal would be distorted, which 73

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Fig. 1. Respiration monitoring in the static and dynamic environments. The signal in the dynamic environment suffers from many noises compared with that in the static environment.

could result in inaccurate RM results. In this work, we propose RM-Dynamic, which aims to remove the effect of multipath signals in dynamic environments for realizing robust
RFID-based RM with accurate apnea detection and respiration rate estimation.

79 To achieve this goal, we first elaborate how the dynamic multipath signals from moving people affect the respiration signal of 80 the monitored person. Previous works only model the effect of 81 multipath signals based on the signal path change [18], [19]. 82 However, the change of the RFID signal (e.g., signal phase) 83 incurred by the multipath signals is subject to many factors, 84 e.g., the antenna radiation range, people's moving area, and 85 movement pattern. In our work, we perform a detailed 86 investigation of these effects on the RFID signal in terms of 87 the signal phase. In specific, we find that multipath signals 88 caused by moving people can introduce a similar pattern in 89 the signal phase as that resulting from the chest movement 90 during respiration. As a result, multipath signals could dis-91 tort the original respiration signal with both high-frequency 92 93 noises and fake respiration cycles, which lead to inaccurate respiration state measurements. 94

95 The second task is to remove the effect of multipath signals for accurate apnea detection. In dynamic environments, the sur-96 rounding movements can result in the missing detection of 97 apnea, which is a respiratory anomaly of sudden cessation of 98 breathing. This is because the phase of multipath signals may 99 occasionally exhibit a sinusoidal wave, which shares a similar 100 pattern to the respiration signal, even if when the monitored 101 person stops breathing with no chest movement. This would 102 misguide that the monitored person is still breathing and lead 103 to the missing diagnosis of apnea. To address this issue, we 104 investigate the spectrogram of respiration and multipath sig-105 nals in the frequency domain. In specific, we compare the 106 dominance of their frequency components within the respira-107 tion frequency range. For the respiration signal, the most 108 109 dominant frequency components fall into the respiration frequency range. In contrast, the frequency components of multi-110 path signals are less dominant in the respiration frequency 111 range. With this in mind, we define a respiration-dominance 112 index (RDI) which counts the number of dominant frequen-113 cies within the respiration frequency range in the spectro-114 gram. The measured RDI is compared with a reference RDI 115 obtained from the normal respiration signal to differentiate 116 the apnea out of the multipath signals. 117

118 The third task is to remove the effect of multipath signals for 119 accurate respiration rate estimation. To achieve this, we borrow insights from the inherent features of the human respiration 120 pattern. Human-beings have a regular and periodic respira-121 tion rhythm which is unique and diverse among individuals 122 [20]. Compared with the irregular patterns of people's mov-123 ing, respiration presents a regular and rhythmic pattern. 124 This inspires us to transform the real respiration cycle into a 125 matched filter to extract the desired respiration signal 126 mixed with the multipath signals. After filtering, there will 127 be repetitive peaks in the matched filter output which match 128 the corresponding respiration cycles. By detecting the 129 peaks, we can estimate the respiration rate. 130

Note that the performance of the matched filter depends 131 on the shape of the real respiration cycle. However, respiration patterns are diverse for different persons and may 133 change along with time. To obtain optimal performance of 134 the matched filter, we first propose a cycle-averaging 135 method to obtain the respiration cycle template for each 136 user. Then, we design a respiration template update method 137 to automatically adapt to the change of respiration pattern. 138

In sum, our work makes the following contributions: 139

- To the best of our knowledge, RM-Dynamic is the 140 first work to study the problem of RFID-based RM in 141 dynamic environments. We can accurately estimate 142 the respiration state when people move in the vicin- 143 ity of the monitored person. 144
- We perform a detailed analysis on how the multipath signals from surrounding people's movements 146 affect the respiration signal. We investigate the key 147 factors that affect the pattern of multipath signals, 148 which facilitates the understanding of the RFID multipath effect in this field. 150
- Based on the intrinsic features of respiration pattern, 151 we analyze the signal's spectrogram for accurate 152 apnea detection and design a matched filter for accurate respiration rate estimation. Experimental results 154 show that our system achieves similar performance 155 on apnea detection (4.2% error) and respiration rate 156 estimation (0.51 *bpm* error) in dynamic environments compared with those in static environments. 158

### 2 RFID-BASED RESPIRATION MONITORING AND THE MULTIPATH EFFECT

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In this section, we introduce how the RFID signal phase is 161 affected by both the respiration activity and the multipath 162 signals incurred by surrounding people's movements. 163

#### 2.1 Phase of the Respiration Signal

To interrogate an RFID tag, the RFID reader first sends out a 165 continuous wave (CW) to activate the tag. After being pow- 166 ered up, the tag modulates its information on the CW and 167 reflects it back to the reader. The commodity RFID reader 168 can then extract and output the low-level data of the RFID 169 signal. In our work, we use the RFID signal phase to mea- 170 sure the respiration state, since the signal phase is more sen- 171 sitive to the minute chest movement during breathing [12]. 172

To thoroughly understand the RFID signal phase, we 173 interpret it from the aspects of both signal voltage and sig- 174 nal traveling distance. First, we refer to the phasor space, as 175 shown in Fig. 2a, to show how the signal phase is measured 176



Fig. 2. Demodulated voltage of the tag signal received by RFID reader.

from the signal voltage. When the RFID reader receives the tag backscattered signal, it is converted into the baseband signal  $\vec{V}$ , which can be represented as follows [21]:

$$\vec{V} = \vec{V_o} + \vec{V_t^i}; \ \vec{V_o} = \vec{V_{leak}} + \vec{V_{scatter}}.$$
(1)

 $\vec{V_o}$  is decided by the reader transmitter to receiver leakage 182  $\vec{V}_{leak}$  and scattering  $\vec{V}_{scatter}$  from the environment.  $\vec{V}_t^i$  is the 183 voltage of the tag backscattered signal.  $\vec{V}_t^i$  changes with the 184 185 state of the tag chip (i =state 0 or 1). State 1 and state 0 refer to the matching and mismatching states between the input 186 impedance of the tag antenna and the tag chip [22], respec-187 tively. After removing the DC component in V, the signal 188 phase  $\phi$  is calculated as follows. 189

$$\phi = ang(\vec{V}_t^1 - \vec{V}_t^0) = arctan\left(\frac{Q_{ac}}{I_{ac}}\right),\tag{2}$$

where  $Q_{ac}$  and  $I_{ac}$  refer to the AC quadrature and in-phase components, respectively. When the tag moves along with the chest movement while breathing,  $V_t^i$  will rotate back and forth, resulting in a periodic change of the signal phase. Second, the signal phase can also be expressed as a func-

197 tion of the signal traveling distance d as follows.

$$\phi = \left\{ 2\pi \cdot \frac{d}{\lambda} \right\} \mod 2\pi, \tag{3}$$

where  $\lambda$  is the signal wavelength. During respiration, with the RFID tag attached on the chest and facing to the antenna directly, Equ. (3) becomes

$$\phi = \left\{ 2\pi \cdot \frac{2[d_0 + d_r(t)]}{\lambda} \right\} \mod 2\pi, \tag{4}$$

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where  $d_0$  is the initial distance between the tag and the antenna.  $d_r(t)$  is a sinusoidal function which describes the chest movement. As the chest moves forward and backward periodically, the signal phase exhibits a periodic pattern accordingly with valleys and peaks indicating the expansion and contraction of the chest, respectively.

#### 211 2.2 Phase of Multipath Signals

In RFID-based RM systems, the LOS signal between the tag 212 and antenna is used for extracting the respiration pattern 213 [9], [12], [13], [14]. While, in practice, many reflectors in the 214 215 environment, e.g., surrounding people and furniture, could bring multipath signals. As shown in Fig. 3, the static object 216 and moving person bring different multiple signals. Such 217 multipath signals can be superimposed with the LOS signal 218 at the receiver, which greatly affects the signal phase. 219



Fig. 3. Propagation path of multipath signals from moving person.



Fig. 4. Effect of dynamic multipath signals on the signal phase.

When surrounding people move nearby the tag, the mul-220tipath signals' voltage is added on the received signal, and221the tag signal phase  $\phi$  can be expressed as222

$$\phi = ang\left[\underbrace{\sum_{s=1}^{S} (\vec{V}_{t_s}^1 - \vec{V}_{t_s}^0)}_{\vec{V}_S} + \underbrace{\sum_{m=1}^{M} (\vec{V}_{t_m}^1 - \vec{V}_{t_m}^0)}_{\vec{V}_M}\right],$$
(5)

where  $\vec{V}_{t_s}^{1,0}$  is the voltage of static components, including the 225 static LOS signal and static multipath signals.  $\vec{V}_{t_m}^{1,0}$  refers to 226 the voltage of dynamic multipath signals. S and M are the 227 total numbers of static signals and dynamic multipath sig- 228 nals in the environment, respectively. 229

In the phasor space, suppose the tag is attached on a 230 static object, OA in Fig. 4 represents the sum of static com- 231 ponents, i.e.,  $V_S$  in Equ. (5). When people move around the 232 tag, the dynamic component  $\vec{V}_M$ , i.e.,  $\vec{AB}$  in Fig. 4, will rotate 233 from 0 to  $2\pi$ . The measured phase is denoted by the com- 234 bined component OB. In consequence, the combined phase 235 is jointly affected by  $|V_M|$  and  $\langle V_M|$  (the angle between  $V_M$  236 and I-axis). When people move nearby the tag, the strength 237 (length) of  $|V_M|$  varies, e.g.,  $|V_M|$  increases from AB to AB'. 238 Meanwhile,  $\angle V_M$  may also change accordingly, e.g.,  $\angle V_M$  239 decreases when AB rotates to AC. Then the combined sig- 240 nal phase will change accordingly. Therefore, in the follow- 241 ing sections, we will study how surrounding people's 242 movements affect the signal phase from the views of  $|V_M|$  243 and  $\angle V_M$ . 244

2.2.1 Effect of 
$$|\vec{V}_M|$$
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By investigating the effect of surrounding people's move- 246 ments on  $|\vec{V}_M|$ , we can compare the magnitude of phase 247 changes incurred by respiration with those from people's 248 moving. To achieve this, we look into the propagation paths 249 of multipath signals. As shown in Fig. 3, multipath signals 250 primarily propagate in two ways [18]: (1) antenna  $\rightarrow$  person 251  $\rightarrow$  tag  $\rightarrow$  antenna; (2) antenna  $\rightarrow$  tag  $\rightarrow$  person  $\rightarrow$  antenna. 252

In propagation way (1), the moving person affects the 253 downlink of multipath signals, i.e., [antenna  $\rightarrow$  person  $\rightarrow$  254





Fig. 6. Moving area and trajectory of the surrounding person.

tag]. At this point,  $|\vec{V}_M|$  mainly depends on the strength of 255 multipath signals which are dominated by the reflection of 256 the moving person from the antenna to tag. As a result, the 257 person's moving area around the antenna is the key factor of 258  $|V_M|$ . The RFID antenna is usually directional and has an effec-259 tive radiation area, inside which a 3 dB beamwidth area 260 (denoted as 3 dB-area) exits. Fig. 5a shows a 3 dB-area for the 261 Laird antenna [23]. The area inside the red circle is the effec-262 263 tive radiation range, and the inner area segmented by the two black arrows is the 3 dB-area. When the person moves inside 264 the 3 *dB*-area, more multipath signals are reflected by the per-265 son with a stronger signal magnitude, and vice versa. 266

To see the effect of people's moving area around the 267 antenna on the signal phase, we attach an RFID tag on a sta-268 269 tionary box and place the antenna 1.5 m away facing to the tag straightly, as shown in Fig. 5b. A red line is drawn on 270 271 the ground as the 3 dB beamwidth boundary. Volunteers 272 are asked to walk insides, outside, and randomly in and out of the 3dB-area without blocking the LOS path, as shown in 273 Fig. 6a. Since volunteers move closer to the antenna, differ-274 ent moving distances to the tag, i.e., the effect from the 275 uplink signal, introduce limited impact to the signal phase 276 and can be ignored. 277

To compare the phase changes caused by the respiration 278 activity and those brought by the surrounding movements 279 in different areas, we employ the standard deviation (std) of 280 the signal phase, which can serve as a good indicator to 281 282 measure the variations in the signal. The larger the *std* is, the larger phase changes are incurred by the movement. 283 The distributions of the *std* of the signal phase for people 284 moving inside, outside, and randomly in and out of the 285 3 dB-area are shown in Fig. 7. We also depict the std of the 286 287 signal phase merely caused by the respiration activity. From Fig. 7, we obtain the following observations: (1) The 288 std of the signal phase when people move inside 3 dB-area 289 is generally larger than that of outside the 3 dB-area. This is 290 because the movements inside the 3 dB-area can result in a 291 larger  $|\vec{V}_M|$ . (2) The *std* distributions of the random moving 292 and respiration overlap each other, showing that the multi-293 path signals of moving people have similar effects on the 294 phase changes compared with the respiration activity. 295 Therefore, surrounding people's movements could bring 296 comparable phase changes as the respiration activity. 297



Fig. 7. Distribution of standard deviation of the signal phase with moving people moving in different areas.



Fig. 8. Standard deviation of signal phase for different distances l.

For propagation way (2), the moving person mainly 298 affects the uplink of multipath signals, i.e., [tag  $\rightarrow$  person  $\rightarrow$  299 antenna]. In this case,  $|V_M|$  is mainly decided by the strength 300 of multipath signals which are dominated by the reflection 301 of the moving person from the tag to antenna. Therefore, the 302 distance between the moving person and tag becomes the 303 key factor for the phase changes. To observe this effect, we 304 ask a volunteer to walk along a straight line nearby the tag 305 with different distances *l* to the LOS line, as shown in Fig. 6b. 306 Since the volunteer mainly moves around the tag and is rela- 307 tively far from the antenna, different moving areas towards 308 the antenna, i.e., the effect from the downlink signal, cause 309 little effect on the signal phase and can be neglected. The 310 average *std* of the signal phase for different l is given in 311 Fig. 8. The *std* first falls sharply and then decreases smoothly 312 along with the increase of l. Thus, the effect of multipath sig- 313 nals when the moving person is far from the monitored per- 314 son is limited. However, if the person moves close to the 315 monitored person, multipath signals could affect the respira- 316 tion pattern and should be carefully removed. 317

#### 2.2.2 Effect of $\angle V_M$

The effect of  $\angle V_M$  can be revealed from the moving pattern 319 of surrounding people. We analyze the people's moving 320 pattern from two aspects. First, the torso movement can 321 result in two possible changes of  $\angle V_M$ , i.e., rotating clock- 322 wise and counterclockwise, which causes the increase and 323 decrease of  $\angle V_M$ . Second, people's limbs could swing periodically during walking, which could lead to a rhythmic 325 change of  $\angle V_M$  whose frequency is similar to the limb swing 326 frequency within the range of 1.5 - 2.5 Hz [24]. Thus, people's moving also brings relatively high-frequency components in the signal phase compared with the human 329 respiration frequency range of 0.17 - 0.55 Hz [25]. 330

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To show the effect of surrounding people's torso and 331 limb movements, we fix the tag on a stationary box and ask 332 a person to walk from the antenna towards the tag, then 333 stop for a while, and finally walk backward. The measured 334 signal phase is depicted in Fig. 9. The general increasing 335 and decreasing trend (highlighted by yellow dashed 336 arrows) are mainly caused by the torso moving from the 337



Fig. 9. Phase of multipath signals caused by a person walking by.



Fig. 10. Multipath mixed respiration signal, ground truth respiration signal, and peak detection result.

antenna to the tag side. Meanwhile, the small peaks in the
green rectangular are due to the periodic limb movements
during walking. The effect from the high-frequency limb
movements can be removed by a low pass filter. However,
the general increasing and decreasing trend in Fig. 9 may be
mis-detected as fake respiration cycles, which should be
eliminated from the signal phase.

In sum, based on the analysis of  $|\dot{V_M}|$  and  $\angle \dot{V_M}$ , the multipath signals of moving people could distort the respiration signal with comparable magnitude changes of the signal phase, which include both high-frequency noises and fake respiration cycles.

**2.3 Respiration Signal Mixed With Multipath Signals** 

To investigate the impact of multipath signals on the respira-351 tion signal, we attach an RFID tag on a person's chest and 352 ask another two persons to walk nearby. The received signal 353 354 phase which is mixed with respiration and multipath signals is shown in Fig. 10a. The ground truth signal of respiration is 355 collected with a chest band and shown in Fig. 10b. The moni-356 tored person is asked to breathe normally for 5 respiration 357 cycles. In Fig. 10a, the respiration cycles are messed up with 358 359 noises caused by multipath signals. In particular, the noises in the green rectangular exhibit similar magnitude as the real 360 respiration peaks. If a low pass filter is applied on Fig. 10a 361 followed by a peak detection scheme, as shown in Fig. 10c, 7 362 respiration cycles will be detected, and the extra 2 fake respi-363 ration cycles could lead to inaccurate respiration rate estima-364 tion. Besides, multipath signals would cause wrong apnea 365 detection. If people are moving around the monitored person 366 with the apnea syndrome, multipath signals will incur a sim-367 ilar pattern as respiration, which could misguide that the 368 monitored person is still breathing. 369



Fig. 11. Overview of the RM-Dynamic system.



Fig. 12. Three status of the monitored person.

#### **3 OUR APPROACH**

In this section, we first give an overview of the RM- 371 Dynamic system. Then, we introduce our proposed meth- 372 ods for eliminating the effect of the multipath signals in 373 dynamic environments for accurate apnea detection and 374 respiration rate estimation. 375

#### 3.1 Overview

The overview of the RM-Dynamic system is depicted in 377 Fig. 11. The raw signal phase is first collected from the tag on 378 the monitored person's chest and segmented into fix-length 379 windows. Next, status detection is performed to detect 380 whether the monitored person is quasi-static, having small- 381 scale limb movement, or with large-scale torso movement. 382 RM is carried out when large-scale torso movements are not 383 detected. Then, we transform the signal phase into the spec- 384 trogram to detect the abnormal pattern of the apnea in the 385 frequency domain. If no apnea is detected, the matched filter 386 is applied on the signal phase to denoise the respiration sig- 387 nal mixed with multipath signals. The matched filter is cre- 388 ated using the respiration cycle template generated from our 389 template extraction method. Since different people have var- 390 ious respiration patterns, we pre-collect the signal phase 391 when the monitored person breathes in a static environment 392 to extract a unique template. Besides, we propose a template 393 update method to adapt to the change of the monitored per- 394 son's respiration pattern along with time. Finally, the filtered 395 signal phase will be processed to estimate the respiration 396 rate by detecting the repetitive peaks. 397

#### 3.2 Status Detection

Human movement status may significantly impact the RM 399 result. Thus, before performing RM, we first detect the 400 movement status of the monitored person. In our study, we 401 classify the common moving status into three categories, 402 including the quasi-static status (the person only breathes 403 without other movements), limb-moving status (the person 404 has small-scale limb movements), and torso-moving status 405 (the person has large-scale torso movements), as shown in 406 Fig. 12. 407

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Fig. 13. Signal phase for respiration under different movement status.

408 To detect different moving status, we observe the signal phase when a monitored person breathes under the above 409 410 three status, as shown in Fig. 13. For the quasi-static status, the respiration signal shows a clear periodic pattern. For the 411 412 limb-moving status, the limb movement causes small jitters in the respiration signal, as the green rectangular depicted 413 in Fig. 13b. These jitters, however, have a limited impact on 414 the respiration signal and can be removed using our 415 designed matched filter. When the monitored person moves 416 the entire torso, the signal phase exhibits more dramatic 417 fluctuations, as highlighted by the red rectangular in 418 Fig. 13c. This is because the human torso may block the LOS 419 path between the tag on the chest and the antenna. Based 420 on these observations, our RM-Dynamic system should 421 automatically detect the movement status and perform RM 422 423 when the person is in the quasi-static and limb movement status, while stopping RM when large torso movements are 424 425 detected.

To detect the torso-moving status, we compare the mag-426 427 nitude of the phase changes within a window, which is calculated as the difference  $\phi_{diff}$  between the maximum and 428 minimum phase. If  $\phi_{diff}$  is larger than a pre-defined thresh-429 old, the window is regarded as the one under torso-moving 430 status, and vice versa. To obtain the threshold, we first cal-431 culate a theoretical value for the maximum phase change 432  $\phi_{r_m}$  of the respiration signal. The displacement of chest dur-433 ing respiration ranges from 4 - 12 mm [26]. For the RFID 434 signal with the 925 *MHz* carrier frequency,  $\phi_{r_m}$  is calculated 435 as  $(2d_{r_m}/\lambda) \cdot 2\pi = 0.465 \ rad$ , where  $d_{r_m}$  is set to 12 mm. 436 Then, we obtain the empirical standard deviation  $\phi_{r_{std}}$  of all 437 the  $\phi_{r_m}$  calculated from the measured respiration signal. 438 Finally, the threshold is determined as the sum of the theo-439 retical  $\phi_{r_m}$  and empirical  $\phi_{r_{otd}}$ . 440

#### 441 3.3 Apnea Detection

After status detection, the next step is to detect whether the
apnea appears. Recall that multipath signals from moving
people could result in fake respiration cycles, which can
lead to mis-detection of apnea. For example, the signal
phase shown in Fig. 14a is collected from a person who
stops breathing from 15 - 24 *s* with people moving around.
The multipath signals result in a respiration-like peak from



Fig. 14. Raw phase, phase after matched filter, spectrogram, and RDIs for the respiration signal with apnea.

15–20 s in the signal phase after the low-pass filter, as 449 shown in the red rectangular of Fig. 14b. Then, the person 450 would be mis-detected as breathing normally after applying 451 the peak detection scheme on the filtered phase. 452

To differentiate the apnea from multipath signals, we 453 employ the time-frequency pattern of the signal phase. In 454 specific, we extract the spectrogram of the signal phase, 455 from which we can identify the anomaly in the respiration 456 signal with apnea. For instance, the spectrogram of the sig- 457 nal phase in Fig. 14a is extracted and shown in Fig. 14c. The 458 signal spectrogram exhibits a white area in the middle 459 which exactly matches the apnea period, meanwhile clearly 460 showing the dominant frequencies during normal breathing 461 at around 0.3 - 0.4 Hz, which corresponds to the respira- 462 tion frequency. This indicates that the frequency compo- 463 nents of the real respiration signal, although mixed with the 464 multipath signals, still dominant over the respiration fre- 465 quency range of 0.17 - 0.5 Hz [25]. In contrast, if the person 466 stops breathing, and only multipath signals are left, the fre- 467 quency components almost disappear within the respiration 468 frequency range.

Based on this observation, we leverage the disappearance 470 of the dominant frequencies within the respiration fre- 471 quency range to detect the apnea. We define a respiration- 472 dominance index (RDI) to detect whether the dominant fre- 473 quency disappears within the respiration frequency range. 474 To measure RDI, we first perform the short-time fourier 475 transformation (STFT) on the signal phase to obtain the 476 spectrogram. In STFT, the signal phase is first divided into 477 fixed-length segments.<sup>2</sup> For each time segment, we measure 478 the mean of all the frequency-domain amplitudes in the 479 spectrogram as a noise threshold. Then, the RDI is calcu- 480 lated by counting the number of frequencies, whose ampli- 481 tude exceeds the noise threshold within the respiration 482 frequency range. RDI characterizes the dominance of the 483

<sup>1.</sup> We note that torso-moving status, e.g., posture change during sleep, does not appear frequently. The monitor person is mostly in a quasi-static status or with a few limb movements.

<sup>2.</sup> In our implementation of STFT, the length of the segment is set to 512 sampling points, and the size of FFT is 2,048 after zero-padding.



Fig. 15. Phase values of two persons' respiration activity.

respiration components over all the frequency components 484 in each segment of the signal phase. The RDI of multipath 485 486 signals during the apnea period is much lower than that of the respiration cycles mixed with multipath signals. In 487 Fig. 14d, we show the RDIs for all the segments of the spec-488 trogram in Fig. 14c. RDIs during the apnea period all 489 decrease to 0 while the RDIs for the respiration cycles all 490 491 exceed 10. To detect the decrease in RDIs for apnea detec-492 tion, we calculate the mean RDI of the person's pre-collected respiration signal in the static environment, and half 493 of the mean RDI is set as the reference RDI. If the length of 494 consecutive RDIs whose values are lower than the reference 495 RDI exceeds 5 s, the apnea is detected, and the correspond-496 ing phase window will not be used to perform respiration 497 rate estimation. The length of  $5 \ s$  is chosen because it is the 498 longest duration of normal respiration cycles [25]. 499

#### Matched Filter 500 3.4

After apnea detection, the signal phase without apnea is 501 used to estimate the respiration rate. Recall that the real res-502 piration cycles are distorted by high-frequency noises and 503 fake respiration cycles, which cannot be simply eliminated 504 by the low-pass filter. To tackle this issue, we leverage the 505 difference between the respiration pattern and multipath 506 signals. In specific, due to the intrinsic features of the 507 human respiration pattern, the respiration signal phase 508 shows a periodic and sinusoidal pattern. In contrast, multi-509 path signals are random and irregular, which involve both 510 low and high-frequency noises combined in various ways. 511 512 This inspires us to employ the matched filter to detect the 513 target signal out of noises. The matched filter is an optimal linear filter, created from a target signal template, to detect 514 the target signal by maximizing its signal-to-noise ratio 515 (SNR) from the unknown signal mixed with noises [27]. For 516 RM, we extract a single respiration cycle as the template for 517 518 creating the matched filter and apply the matched filter on the received signal phase to denoise it. The output of the 519 520 matched filter will peak at where the target signal appears. Finally, we can detect the peaks in the filtered phase and 521 estimate the respiration rate. 522

#### 3.4.1 Template Extraction 523

To design the matched filter, the respiration cycle template 524 should be carefully selected due to the following reasons. 525 526 First, the shape of the template can affect the performance of the matched filter. Only when the template has the same 527 shape as the target signal can we achieve the optimal SNR. 528 If the shape of the template is not consistent, the SNR of the 529 matched filter output will vary accordingly. Second, respi-530 ration patterns are unique and diverse among different peo-531 ple [20]. For example, the signal phase of two persons' 532



Fig. 16. Distributions of the signal phase for (a) the pure respiration sig-541 nal and (b) respiration signal mixed with the multipath signals. 542

respiration in Fig. 15 shows that their respiration cycles 545 have different shapes. This means that the respiration cycle 546 template should be typical for each monitored person to 547 achieve higher SNR of the filtered phase. We will discuss 548 the effect of using the person's own template and other per- 549 sons' templates on the SNR in Section 4. 550

To extract the respiration cycle template, we first pre-col- 551 lect the signal phase of pure respiration for the monitored 552 person in a static environment. The monitored person nor- 553 mally breathes for 1-2 minutes during which the signal 554 phase is collected. Noth that the template collection is a 555 one-time step, which would not bring too much inconve- 556 nience to users. Then, we extract the template from the pure 557 respiration signal by using a cycle-averaging method intro- 558 duced as follows. First, we smooth the respiration signal 559 phase with a median filter. Then, we detect the local mini- 560 mums, which are the starting points of respiration cycles, to 561 segment the signal phase into individual cycles. To detect 562 the local minimums, peak detection is performed on the 563 negative of the signal phase. Next, for each respiration cycle, 564 we calculate its similarity with all the other respiration 565 cycles using the euclidean distance. The respiration cycle 566 with the highest similarity is selected as the template candi- 567 date. Finally, the template candidate is scaled according to 568 the average width and height of all the respiration cycles as 569 the respiration cycle template  $r_t(n)$ . 570

#### Template Update 3.4.2

In practice, people's respiration patterns may change along 572 with time. Respiration rate can increase or decrease under 573 different scenarios. For instance, the respiration rate could 574 increase after doing exercise. Furthermore, many diseases, 575 e.g., tachypnea and bradypnea, are related to the increasing 576 and decreasing of respiration rate. As such, the template for 577 the matched filter should be timely updated to adapt to the 578 change of respiration pattern.

herefore, we propose a template update method during 580 RM. We first set a period for updating the template, e.g., 581 3 min, considering that the respiration pattern is highly 582 possible to remain stable in a short period. Then, for each 583 update period, a certain time window of the signal phase, 584 which is only affected by the respiration activity without 585 the interference from multipath signals, is applied to update 586 the template. To achieve this, we leverage the difference of 587 the phase distributions between the pure respiration signal 588 and the respiration signal mixed with the multipath signals. 589 The pure respiration signal is a sinusoidal wave, which has 590 a non-gaussian distribution, as shown in Fig. 16a. While, 591

543



Fig. 17. EMD between the gaussian distribution and the distributions of the pure respiration signal and multipath-mixed respiration signal.

592 due to the random noises incurred by the multipath signals, 593 the distribution of the multipath-mixed respiration signal is more likely to be gaussian, as depicted in Fig. 16b. Based on 594 these observations, we calculate the distance between the 595 phase distribution and a comparison gaussian distribution. 596 The earth mover's distance (EMD) is employed to measure 597 the distribution distance. A smaller EMD indicates a larger 598 similarity. The mean and standard deviation of the compari-599 son gaussian distribution are set to be those obtained from 600 the measured signal phase. We collect 40 traces of phase for 601 the monitored person breathing normally in a static envi-602 ronment and with people moving nearby, respectively. 603 Their EMD results with the corresponding gaussian distri-604 605 bution are shown in Fig. 17. For the pure respiration signal, the distance is much larger than that of the multipath-mixed 606 607 respiration signal. Hence, we select the phase window whose EMD is the largest among all the windows so that it 608 609 mainly involves the pure respiration signal. Then, the cycleaveraging method is applied on the selected window to 610 update the template  $r_t(n)$ . 611

#### 612 3.4.3 Matched Filter Creation

With the extracted template  $r_t(n)$ , the impulse response of 613 the matched filter h(k) is obtained as  $h(k) = r_t(N - k - 1)$ , 614 where *N* is the length of  $r_t(n)$ . In Fig. 18, we show the out-615 put signal phase after applying the matched filter on the 616 raw signal phase in the upper figures of Figs. 18a and 18b, 617 respectively. In the first figure of Fig. 18a, the multipath sig-618 619 nals from surrounding movements bring fake respiration cycles in the raw signal phase. When using the low-pass fil-620 ter, these fake cycles still remain in the signal phase. In con-621 trast, applying the matched filter can remove the fake cycles 622 meanwhile accurately detecting the real cycles, which 623 match the ground truth in Fig. 10b. Similarly, by applying 624 the matched filter, the fake respiration peak caused by the 625 limb movement in Fig. 18b, is successfully removed, which, 626 however, cannot be fulfilled by the low-pass filter. 627

#### 628 3.5 Respiration Rate Estimation

Intuitively, we can apply fast fourier transformation (FFT) 629 630 to measure the respiration rate. However, the resolution of FFT is restricted by the length of the time window [12]. For 631 instance, if respiration rate is measured every 20 s, the reso-632 lution in the frequency domain is 0.05 Hz, which results in 633 634 3 bpm resolution in the time domain. Thus, to accurately estimate the respiration rate, we use peak detection to avoid 635 the low-resolution problem of FFT. 636

The peak detection method estimates the respiration rate based on the detected peaks, which is suitable for real-time respiration monitoring. However, the peak detection approach could suffer from tiny fluctuations, which can be



Fig. 18. Raw and filtered phase mixed with the multipath signals from (a) the ambient movement of surrounding people and (b) the limb movement of the monitored person.

misdetected as peaks, in the filtered phase. Previous methods set thresholds to discard the wrong peaks which are too low or too closed to each other [7]. However, in the RM sceanario, the magnitude of the signal phase will change along with time. A fixed threshold may be improper and could incur missing or wrong peaks. Therefore, to adapt to different scales automatically, we employ the automatic multiscale peak detection (AMPD) [28] algorithm. AMPD frees us from choosing fixed thresholds to detect the real peaks with the help of the multi-scale technique. The detected peaks of the signals in Fig. 18 after applying AMPD are shown with red crosses. Then, the respiration rate is estimated as follows.

$$rate = 60 / \frac{1}{n} \sum_{i}^{n-1} (p_{i+1} - p_i),$$
(6)
  
(6)

where  $p_i$  is the timestamp of the detected peak, and n is the 656 total number of peaks. The calculated respiration rate is in 657 the unit of breath per minute (*bpm*). 658

#### 4 EVALUATION

In this section, we introduce the experimental setup, evalua- 660 tion metrics, and experimental results in terms of different 661 factors for apnea detection and respiration rate estimation. 662

#### 4.1 Experimental Setup

We implemented the RM-Dynamic system using commer- 664 cial off-the-shelf RFID devices. The ImpinJ Speedway R420 665 reader is connected with a Laird E9208 antenna to transmit 666 the RFID signal and interrogate the RFID tag. The reader 667

659



Fig. 19. Experimental settings in different environments.

works in the 920-925 MHz frequency band, and the reader 668 mode is set to MaxThroughput. The reader is connected to a 669 Dell Inspiron 7460 laptop with i7-7500U CPU and 8 GB 670 RAM. The RFID signal phase is processed using Python 3.0. 671 We conducted experiments in three different environ-672 673 ments with different layouts, as shown in Fig. 19. The antenna is placed 1-2 m away from the monitored person. 674 The tag is attached on the person's chest. We invite 12 vol-675 unteers, including 3 females (height: 165-170 cm, chest 676 width: 27-32 cm) and 9 males (height: 172-180 cm, chest 677 678 width: 33-40 cm), to act as the monitored person and sur-679 rounding people in turn. We do not assign specific routes for volunteers to move so that they can walk freely nearby 680 the monitored person. We ask the monitored person to nor-681 mally breathe for 2 min to extract the respiration template. 682 Then, the signal phase is segmented into 20 s-windows to 683 estimate the respiration state. The ground truth of the respi-684 ration signals is collected via a chest band equipped with a 685 3-axis accelerometer. 686

#### 687 4.2 Evaluation Metrics

We use the following metrics to evaluate the performance of our system. First, for apnea detection, the percentage of the missing apnea (MA) and false apnea (FA) over all the apnea cases are defined as below.

$$MA = \frac{\#missing \ apnea}{\#real \ apnea}, \ FA = \frac{\#false \ apnea}{\#no \ apnea}.$$
 (7)

Second, to evaluate the accuracy of respiration rate estimation, we use the mean absolute error (MAE) as below.

$$MAE = \frac{1}{n} \sum_{i=1}^{n} |r_i - r'_i|, \qquad (8)$$

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<sup>699</sup> where  $r_i$  and  $r'_i$  are the estimated and real respiration rate, <sup>700</sup> respectively. *n* is the number of time windows.

#### 701 4.3 Evaluation Results

In this section, we show the experimental results on apneadetection and respiration rate estimation.

#### 704 4.3.1 Performance of Status Detection

First, we evaluate the accuracy of our method for status 705 detection, which aims to differentiate the large-scale torso 706 707 movement from the quasi-static and small-scale limb movement of the monitored person. The respiration state estima-708 tion, including apnea detection and respiration rate 709 estimation, is performed when the monitored person is in 710 the quasi-static status or with small-scale limb movement. 711 In this evaluation, we ask all the volunteers to breathe nor-712 mally in the quasi-static status, breathe with little limb 713

TABLE 1 Accuracy of Status Detection

	quasi-static & limb-moving	torso-moving	
Accuracy	95.7%	97.1%	

TABLE 2 Comparison of RDI-Based and Peak-Threshold Based Methods for Apnea Detection

	RDI (our method)	peak-threshold
MA	3.75%	12.65%
FA	4.15%	15.8%

movement (e.g., shake the hand), breathe with torso move-714 ment (e.g., turn around the body), and collect the corre-715 sponding signal phase, respectively. The status detection 716 results are shown in Table 1. The accuracy of detecting the 717 quasi-static & limb-moving status and the torso-moving status both exceed 95%. Such a status detection result guaran-719 tees that RM can be accurately performed. 720

#### 4.3.2 Effect of RDI for Apnea Detection

In this evaluation, we compare the performance of our RDI-722 based approach with the existing peak-threshold approach 723 [9] to demonstrate the effectiveness of our approach on apnea 724 detection in dynamic environments. The previous approach 725 sets a fixed threshold for detecting peaks in the respiration sig-726 nal. If there is no peak for a certain time, the apnea is detected. 727 We set the same threshold in [9], which is the median of the 728 signal phase in a time window, and compare its result with 729 our RDI-based method. Table 2 shows that our approach out-730 performs the peak-threshold approach with an approximate 731 10% reduction of MA and FA. This is because the fake peaks 732 caused by the multipath signals from moving people are 733 wrongly regarded as breathing cycles in the peak-threshold 734 approach. However, our proposed RDI-based approach can 735 accurately differentiate the real respiration signal from the 736 multipath signals during the apnea period. 737

#### 4.3.3 Effect of Matched Filter for Respiration Rate Estimation

To show the effectiveness of the matched filter on respira- 740 tion rate estimation, we first compare the MAE between the 741 real and estimated respiration rates with and without 742 applying the matched filter on the signal phase. For meth- 743 ods without the matched filter, we use the low-pass filter 744 and median filter to denoise the signal phase. Then, AMPD 745 is applied to count the respiration cycles. As shown in 746 Fig. 20a, the average MAE using the matched filter is 747  $0.51 \ bpm$ . While the MAEs using the low-pass and median 748 filters are 2.94 bpm and 3.08 bpm, respectively, which are 5 749 times larger than that of using the matched filter. This indicates that the matched filter can help to promote the accurate racy of respiration rate estimation.

Next, we investigate the effectiveness of the template 753 extraction and update methods with two experiments. The 754 first experiment is to show how different persons' templates 755

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Fig. 20. Effect of matched filter: (a) MAE for respiration rate estimation with matched filter, low-pass filter, and median filter (b) SNR and MAE of using different respiration templates to make matched filter.



Fig. 21. Effect of the number of moving people on (a) apnea detection, (b) respiration rate estimation.

could affect the RM performance. We select one volunteer 756 (X) and extract the template from X's respiration signal 757 phase in a static environment. Then, X's template is used to 758 create a matched filter to denoise the respiration signal 759 phase when people move around X. Then, we extract 760 another three templates from three volunteers (A, B, and C) 761 and create three matched filters, respectively. Finally, we 762 apply the three matched filters to denoise X's respiration 763 764 signal phase. The MAEs of using the matched filters created from different persons' templates are shown in Fig. 20b. In 765 addition to the MAE, SNR is also reported to show the abil-766 ity of the matched filter for denoising the signal. The SNR 767 when using the matched filter created from X's own tem-768 plate is higher than using other persons' templates. Mean-769 while, using X's own template also achieves the lowest 770 MAE, indicating the importance of extracting the personal-771 ized template for each user and the effectiveness of our tem-772 plate extraction method. 773

The second experiment is to show the performance of the 774 template update method when the monitored person 775 changes the respiration pattern. We let X breathe normally 776 for 5 min with other people moving nearby and collect the 777 respiration signal phase. Then, X is asked to do pedaling 778 for 15 *min*. After pedaling, the respiration rate of X greatly 779 increases, and we continue to collect X's signal phase for 780 10 min. Then, we estimate the respiration rate before and 781 782 after pedaling using a fixed template and the updated templates of X, respectively. The template update period is set 783 as 2 min. The MAE of using the update templates is 784 0.11 bpm lower than the fixed template, showing the effec-785 tiveness of the template update method. 786

# 4.3.4 Effect of the Number of Moving People on Apnea Detection and Respiration Rate Estimation

In this evaluation, we evaluate the system performance inboth static and dynamic environments with different

TABLE 3 Comparison of Respiration Rate Estimation With Existing Work

	[12]	[14]	our approach
MAE	0.5-1 bpm	0.3-0.5 bpm	0.3-0.6 bpm
Scenario	static	static	dynamic



Fig. 22. Effect of the moving area of surrounding people for (a) apnea detection, (b) respiration rate estimation.

numbers of moving people, i.e., 0 (static), 1 (1p), 2 (2p), and 791 3 (3p) persons moving around. The distance between the 792 person and antenna is set as 1.5 m. First, we evaluate the 793 apnea detection performance. Volunteers who act as the 794 monitored person are asked to simulate the apnea by hold-795 ing their breath for 5-10 s. The results of MA and FA are 796 shown in Fig. 21a. Generally, MA and FA grow slightly 797 with the increasing number of moving people, while they 798 are both below 6% for all cases. The average MA and FA 799 with 1-3 moving persons are only 2-3% higher than those in 800 the static environment. This indicates that our approach can 801 enhance the robustness of apnea detection in dynamic environments with multiple surrounding persons.

We further show the MAE of respiration rate estimation 804 for different numbers of moving people in Fig. 21b. The 805 average MAE raises from 0.2 *bpm* to 0.6 *bpm* with more 806 number of moving people. This is mainly because more 807 moving people could result in more multipath signals. We 808 also compare the accuracy of respiration rate estimation of 809 our system with existing systems in Table 3. Our system has 810 a similar range of MAE compared with existing works. Furthermore, [12], [14] are only designed for RM in static environments, while our system can also work in dynamic 813 environments. 814

## 4.3.5 Effect of the Moving Area of Surrounding People 815 on Apnea Detection and Respiration Rate 816 Estimation 817

As mentioned in Section 2.2.1, the moving area could affect 818 the signal phase. Hence, we ask a volunteer to move inside, 819 outside, and randomly inside or outside the 3 dB-area to 820 test the system performance, respectively. First, we show 821 the results of apnea detection in different areas in Fig. 22a. 822 MA and FA when the ambient person moves outside the 823 3 dB-area are around 4%, which are smaller than the MA 824 and FA of moving inside the 3 dB-area. For randomly movsing in and out of the 3 dB-area, MA and FA are slightly 826 larger than that of moving outside the 3 dB-area. 827

The MAEs for respiration rate estimation of different 828 moving areas are shown in Fig. 22b. When the person 829



Fig. 23. Effect of the distance between the monitored person and antenna for (a) apnea detection, (b) respiration rate estimation.



Fig. 24. Effect of the tag orientation for (a) apnea detection, (b) respiration rate estimation.

moves inside the 3 dB-area, the MAE is around 0.45 bpm, which is 0.15 bpm higher than moving outside the 3 dB-area in average. The results show that we can still achieve relatively high accuracy when the moving person is inside the 3 dB-area of the antenna.

# 4.3.6 Effect of Distance Between Antenna and Monitored Person on Apnea Detection and Respiration Rate Estimation

In this evaluation, we investigate the effect of the distance 838 between the antenna (A) and the monitored person (P) on 839 the system performance. A longer distance between A and 840 *P* means a longer traveling distance of the RFID signal, 841 resulting in a more attenuated backscattered signal. Besides, 842 the longer the distance between A and P, the larger the 843 3 dB-area is. As such, people may easily move into the 844 845 3 dB-area. In our experiment, we first change the A - P distance from 1 m to 4 m with an interval of 0.5 m to test how 846 the distance affects the accuracy of apnea detection. Then, 847 we ask one volunteer to move nearby the monitored person. 848 The results of apnea detection under different distances are 849 shown in Fig. 23a. Both the MA and FA increase along with 850 the increasing distance between A and P. MA and FA 851 852 slightly drop when the distance exceeds 2.5-3 m because the power of the multipath signals reflected by the moving 853 person would decrease with a longer A - P distance. The 854 error difference of apnea detection with different A - P dis-855 856 tances is around 1.5%.

The MAEs of respiration rate estimation for different distances are shown in Fig. 23b. The MAE gradually goes up when the distance increases from 1 m to 3 m. This is because the 3 dB-area becomes larger to allow the person to move inside, and multipath signals bring a larger effect on the signal phase. While, when the distance is larger than



Fig. 25. Three kinds of common sleeping postures, including (a) lie on the back, (b) lie on the side, (c) lie on the stomach.

TABLE 4 Apnea Detection and Respiration Rate Estimation Results Under Different Sleeping Postures

Posture	Apnea Detection		Respiration rate
	MA	FA	estimation (MAE)
Lie on back	$3.9\% \\ 5.4\%$	4.5%	0.38 bpm
Lie on side		5.8%	0.54 bpm
Lie on	front tag: /	front tag: /	front tag: /
stomach	back tag: 7.8%	back tag: 8.6%	back tag: 0.62 bpm

3 *m*, the MAE slightly drops because the multipath signals 863 become weak with a longer traveling distance. Furthermore, 864 a longer distance between *A* and *P* also leads to weaker respiration LOS signals. 866

#### 4.3.7 Effect of Tag Orientation on Apnea Detection and 867 Respiration Rate Estimation 868

When being attached on the chest, the tag can be placed 869 with different orientations. Different orientations of the tag 870 could result in different initial signal phases of the backscat- 871 tered signal [29], which affects the system performance. In 872 our experiment, we choose 3 orientations, including  $0^{\circ}$ ,  $45^{\circ}$ , 873 and 90° of the tag to the gravity direction. The results for 874 apnea detection with different orientations are given in 875 Fig. 24a. The MA and FA under all tag orientations are 876 lower than 5% for apnea detection, and the MAE of respira-877 tion rate estimation is only around 0.4 bpm as shown in 878 Fig. 24b. This is because we make sure the LOS path exists 879 throughout the experiment and we use the relative phase 880 change to measure the chest displacement. In addition, we 881 use a circularly polarized antenna which covers all tag ori- 882 entations and can receive the consistent backscattered signal 883 under different tag orientations. 884

#### 4.3.8 Effect of Different Postures

In this experiment, we evaluate our method for apnea detection and respiration rate monitoring for different sleeping 887 postures. We mainly consider three common postures, i.e., 888 lying on the back, lying on the side, and lying on the stomach, 889 as shown in Fig. 25. We ask one volunteer to lie under three 890 different postures and ask another volunteer to walk nearby. 891 The results are shown in Table 4. The accuracy of both apnea 892 detection and respiration rate estimation for the posture of 893 lying on the side is lower than those of lying on the back. 894 This is because when the user lies on the side, the chest displacement brings a smaller change of the signal phase. When 896 the user lies on the stomach, since the signal of the front tag 897 is fully blocked by the body, the reader cannot receive the 898 backscattered signal. However, we notice that the human 899



Fig. 26. Effect of the other movements, including sleeping posture changes, sitting movements, cleaning room, and limb movements of the monitored person for (a) apnea detection, (b) respiration rate estimation.

back still expands and contracts slightly during respiration.
Thus, we attach another tag on the back for RM. As shown in
Table 4, the MA and FA of apnea detection are still below
10%, and the MAE for respiration rate is still below 0.7 *bpm*,
which reveals the validity of our proposed system.

#### 905 4.3.9 Performance of Other Movements

Apart from the walking movement, other movements could 906 also appear around the monitored person in daily RM sce-907 narios. In this experiment, we evaluate the system perfor-908 mance for another four common movements. First, for the 909 couple in sleep, the movement of one person changing the 910 sleeping posture can bring multipath signals to the other per-911 son being monitored. Thus, we ask two volunteers to lie 912 down. One of them is the monitored person and another vol-913 914 unteer act as the surrounding person who is around 30 cm away from the monitored person and is allowed to randomly 915 916 change sleeping postures. Second, for a person sitting beside the monitored person, the person's movements like stretch-917 ing arms or turning around also incur multipath signals to 918 the monitored person. Therefore, we ask one volunteer to sit 919 920 50 cm away from the monitored person and move his/her arm and body. Third, in home environments, the house-921 keeper may move nearby the monitored person. Finally, the 922 monitored person could move limbs during RM. We conduct 923 experiments under the above four scenarios to detect the 924 apnea and measure the respiration rate. 925

The results are shown in Fig. 26. The MA and FA of 926 apnea detection are all below 5% for different movements. 927 This is because our designed matched filter can remove the 928 929 effect of the surrounding person's movement and smallscale limb movement from the monitored person. The sit-930 ting movements have the lowest MA and FA mainly 931 because they introduce a relatively smaller scale of move-932 ments. Meanwhile, the sleeping posture changes and limb 933 movements have a relatively larger effect on apnea detec-934 tion. This may due to the reason that these movements are 935 more close to the monitored person, i.e., inside the 936 937 3 dB-area, so that more significant multipath signals are 938 incurred. The MAE of respiration rate estimation shows similar results, with the MAE of less than 0.5 bpm. 939

### 940 5 RELATED WORK

Our work is related to RF-based RM and the multipath effect of RF signals. Therefore, in this section, we introduce existing works for RF-based RM and solutions in dealing 943 with the multipath effect. 944

#### 5.1 RF-Based RM

Existing works employ RF technologies, e.g., WiFi, Radar, 946 and RFID, for RM since these technologies enable a conve- 947 nient and non-intrusive method for RM. WiFi has been pop-948 ularly used for RM due to its low-cost and pervasive 949 features [5], [6], [7], [9], [30], [31], [32]. The Fresnel zone 950 model is introduced to explain the principle and theory of 951 using the WiFi signal for RM [6]. This model jointly consid- 952 ers the effect of the user's location and the WiFi sensing 953 range to achieve optimal RM performance. Based on this 954 model, FarSense further employs the ratio of WiFi channel 955 state information to greatly increases the sensing range of 956 WiFi signal [30]. In addition to RM, heartbeat estimation 957 can be achieved using the WiFi signal as well [7]. However, 958 WiFi technology suffers from narrow bandwidth, which is 959 difficult to realize simultaneous RM for multiple users. 960 Although multi-user RM has been investigated using WiFi 961 technology [9], [31], [33], they fail to match the respiration 962 pattern to each person because the narrow bandwidth of 963 WiFi cannot localize multiple users accurately. However, 964 the mapping among the multiple users and respiration pat- 965 terns is critical so that users can know which person has 966 respiratory problems. To overcome this limitation, UWB 967 and FMCW radar are employed to monitor the respiration 968 since these devices can provide wider bandwidth [10], [11], 969 [34]. However, such specialized devices are expensive and 970 difficult for public use and large-scale deployment. 971

In recent years, RFID is widely used for RM due to the 972 lightweight and cost-effective RFID tags [12], [13], [14], [15], 973 [17], [35], [36]. TagBreathe uses commodity RFID readers 974 and enables multi-user RM by attaching RFID tags on multi- 975 ple users and separately extracting the signal phase from 976 each tag on the basis of the tag ID [12]. RFID signal can be 977 used for RM under different applications, for instance, RM 978 is realized when people are doing during exercise [15] and 979 driving in a car [35]. Apart from RM, the RFID signal can 980 detect respiration and heartbeat simultaneously [16]. How- 981 ever, existing approaches either work in a static environ- 982 ment or when the monitored person is moving, e.g., 983 walking or running. Our work differs from previous works 984 that we implement RM in the dynamic environment, where 985 other people could move nearby the monitored person. Our 986 proposed RM-Dynamic system aims to fill this gap for 987 RFID-based RM. 988

#### 5.2 Multipath Effect of RF Signals on RM

The multipath effect is a propagation phenomenon for RF 990 signals. This phenomenon is common in practice because 991 there are many reflectors in our environment that can reflect 992 RF signals [37]. Multipath signals reflected by non-target 993 objects can bring noises for sensing the target object's 994 behavior. Different approaches have been proposed to deal 995 with the multipath effect [38], [39], [40], [41]. WiTrack 996 employs FMCW radar to extract the time of flight of each 997 signal path, so that the LOS signal of the target person, 998 which has the shortest path, can be separated from multi- 999 path signals [39]. RespiRadio revises the WiFi 802.11 1000

945

protocol to widen the bandwidth of the WiFi signal and 1001 employs the channel impulse response to separate the 1002 target's signal path from other multipath signals [38]. 1003 Instead of using the expensive FMCW radar or modifying 1004 the WiFi protocol, we provide a lightweight approach to 1005 remove the multipath effect with RFID technology. In our 1006 1007 work, based on our detailed investigation on how multipath signals affect the respiration signal, we effectively remove 1008 the noises caused by multipath signals for accurate RM 1009 without introducing extra hardware or any modification of 1010 the standard communication protocol. 1011

#### 1012 6 DISCUSSION

In this section, we discuss some practical issues in using our 1013 system. First, for sleep apnea detection, the current system 1014 can only detect the central apnea, which is caused by a fail-1015 1016 ure of the brain to activate the muscles of breathing, so that 1017 there is no chest movement. But for the obstructive apnea, 1018 in which the chest muscle still moves but the airway is blocked, we cannot detect it. In fact, existing RF-based RM 1019 systems all fail to do so, because the principle of using RF 1020 signals for RM is to detect signal change incurred by the 1021 1022 chest movement during breathing.

Second, in the current system, we practically assume that 1023 surrounding people would mainly move in the vicinity of 1024 the monitored person without going across the LOS path 1025 between the monitored person and antenna. This means 1026 that RM-Dynamic is not designed to remove the effect from 1027 the surrounding people if they move to block the LOS path. 1028 While in practice, we can change the deployment of the 1029 RFID antenna to avoid other people blocking the LOS path. 1030

#### 1031 7 CONCLUSION

In this work, we aim to achieve robust RFID-based RM in 1032 dynamic environments. Previous systems have realized RM 1033 1034 in the static environment. While in dynamic environments, the moving people bring multipath signals which distort 1035 the respiration pattern in the RFID signal phase. Therefore, 1036 1037 we propose to enhance the robustness of RFID-based RM in 1038 dynamic environments. To identify the apnea out of the multipath signals which could mimic the pattern of breath-1039 1040 ing cycles, we draw on the dominance of respiration components in the frequency domain to avoid the missing 1041 detection of apnea. For respiration rate estimation, the effect 1042 of the multipath signals is eliminated by employing the 1043 matched filter to detect the desired respiration cycles from 1044 the noisy signal phase. The respiration rate is then obtained 1045 by counting the peaks in the filtered phase. The evaluation 1046 1047 results show that our approach can promote the accuracy of respiration monitoring in dynamic environments. 1048

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